



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q78419

Tomohiro WAKABAYASHI, et al.

Appln. No.: 10/705,233

Group Art Unit: 2874

Confirmation No.: 3543

Examiner: Not Yet Assigned

Filed: November 12, 2003

For:

FERRULE AND OPTICAL COUPLING STRUCTURE USING THE SAME

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents, other than any U.S. patents and patent publications, is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

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In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3)

for foreign language documents, Applicant submits the following explanations: English

language abstracts, submitted herewith, constitute concise statements of relevance for

Japanese Application Laid-Open Publication Nos. 51-84265, 56-146111, 61-219012, and

2001-13373. Also, JP-A-8-271758 is cited and discussed on page 1 of the above

referenced application.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does

not waive any right to take any action that would be appropriate to antedate or otherwise

remove any listed document as a competent reference against the claims of the present

application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

Registration No. 23,063

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Date: April 12, 2004

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Substitute for Form 1449 A & B/PTO

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT OF THE STATEMENT BY APPLICANT BY

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known				
Application Number	10/705,233			
Confirmation Number	3543			
Filing Date	November 12, 2003			
	Tomohiro WAKABAYASHI, et			
First Named Inventor	al.			
Art Unit	2874			
Examiner Name	Not Yet Assigned			
Attorney Docket Number	Q78419			

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number		Publication Date	
		Number	Kind Code ² (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US 5,093,877	Α	03/03/1992	Michael Aita, et al.
		US			
	·	US			
0		US			

FOREIGN PATENT DOCUMENTS							
Examiner	Cite No.1	Foreign Patent Document			Publication Date	Name of Patentee or	
Initials*		Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation ⁶
I. X		JР	8-271758	A	10/18/1996		
	••	JР	51-84265	A	07/23/1976		Abstract
		JP	56-146111	A	11/13/1981	GTE LABORATORIES INC. (US)	Abstract
		JР	61-219012	A	09/29/1986	NEC CORP.	Abstract
		JP	2001-13373	Α	01/19/2001	SEIKO INSTRUMENTS INC.	Abstract
1		1	1				

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶		
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ı	Examiner Signature	Date Co	onsidered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.